



AMENDMENT TRANSMITTAL LETTER					Docket No. HOK-0294
Application No. 10/559,540-Conf. #9140		Filing Date December 2, 2005		Examiner D. Q. Nguyen	Art Unit 3752
Applicant(s): Shigekazu AZUKIZAWA et al.					
Invention: ELECTROSTATIC ATOMIZING DEVICE AND HUMIDIFIER USING THE SAME					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	7	- 20 =	0	x 50.00	0.00
Independent Claims	4	- 3 =	1	x 200.00	200.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					200.00
<input checked="" type="checkbox"/> Large Entity			<input type="checkbox"/> Small Entity		
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. 18-0013 in the amount of \$ 200.00 A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 18-0013 as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
<i>Lee Cheng</i> Lee Cheng Attorney/Agent Reg. No.: 40,949					
Dated: May 2, 2007					
<i>Carl Schaukowitch</i> Carl Schaukowitch Attorney/Agent Reg. No.: 29,211					
RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750					

IAP6 Rec'd PCT/PTO 02 MAY 2007

PCT/PTO  
IAP6

PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2006

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 200.00)
**Complete if Known**

Application Number	10/559,540-Conf. #9140
Filing Date	December 2, 2005
First Named Inventor	Shigekazu AZUKIZAWA
Examiner Name	D. Q. Nguyen
Art Unit	3752
Attorney Docket No.	HOK-0294

**METHOD OF PAYMENT** (check all that apply)

Check    Credit Card    Money Order    None    Other (please identify): \_\_\_\_\_  
 Deposit Account   Deposit Account Number: 18-0013   Deposit Account Name: Rader, Fishman & Grauer PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below    Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17    Credit any overpayments

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)      Fee (\$)      Small Entity  
 50      25  
 Each independent claim over 3 (including Reissues)      Fee (\$)      Small Entity  
 200      100  
 Multiple dependent claims      Fee (\$)      Small Entity  
 360      180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
7	- 20 = 0	x 50.00	= 0.00

Multiple Dependent Claims

Fee (\$)	Fee Paid (\$)
----------	---------------

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
4	- 3 = 1	x 200.00	= 200.00

Fee (\$)	Fee Paid (\$)
----------	---------------

HP = highest number of total claims paid for, if greater than 20.  
 HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50	(round up to a whole number) x	=	

Fees Paid (\$)**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

SUBMITTED BY		03/07/2007 09:57:11 00000000 100013			10559540
Signature			Registration No. (Attorney/Agent)	40949 PC: 29,211	Telephone (202) 955-3750
Name (Print/Type)	Lee Cheng Carl Schaukowitch			Date	May 2, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Shigekazu AZUKIZAWA**

Application No.: 10/559,540

Filed: December 2, 2005

For: ELECTROSTATIC ATOMIZING DEVICE AND HUMIDIFIER USING THE SAME

Attorney Docket No.: HOK-0294

Examiner: D. Q. Nguyen

Art Unit: 3752

Confirmation No.: 9140

**AMENDMENT UNDER 37 C.F.R. §1.111**

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**I. INTRODUCTORY COMMENTS**

In response to the Office Action dated February 22, 2007, please amend the above-identified application as set forth below.

Claims 1-7 are pending in the application. By this Amendment, claims 3, 4 and 6 are amended.

Applicants express their appreciation for the Examiner's indication of allowable subject matter in claims 3, 4 and 6. The claims are amended in independent form and are now in condition for allowance.

**II. AMENDMENTS TO THE DRAWINGS**

(There are no amendments to the drawings)

**III. AMENDMENTS TO THE SPECIFICATION**

(There are no amendments to the specification)